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www.cfainstitute.org

June 2009

CFA® Program Application

JUNE 2009 CFA EXAM: IMPORTANT DATES

<u>24 September 2008</u>	Initial deadline for CFA Program applications to be <i>received by CFA Institute</i>
<u>16 February 2009</u>	Second deadline for CFA Program applications to be <i>received by CFA Institute</i>
<u>16 March</u>	Final deadline for CFA Program applications to be <i>received by CFA Institute</i>
<u>Late April</u>	Exam admission tickets available
<u>6 June</u>	2009 exam day
<u>7 June</u>	2009 exam day in Eastern Asia and Oceania
<u>June-July</u>	Exams graded
<u>Late July</u>	Exam results available for Level I candidates
<u>Late August</u>	Exam results available for Level II and III candidates

PLEASE MAIL YOUR COMPLETED APPLICATION TO:

CFA Institute
560 Ray C. Hunt Drive
Charlottesville, VA 22903
USA
or fax to: +1 (434) 951-5240

AN OVERVIEW OF THE CFA PROGRAM

Complete details are available on the CFA Institute website: www.cfainstitute.org.

Code and Standards

When you enter the CFA Program, and ultimately if you are to become a CFA charterholder, you are doing more than simply mastering a body of knowledge; you are committing to the CFA Institute Code of Ethics and Standards of Professional Conduct. This commitment is not to be taken lightly; it demands that, above all else, you put the interests of your clients first. There is nothing that CFA Institute does as an organization that is of greater importance than promoting the use of its Code and Standards by investment professionals and enforcing the Code and Standards among CFA Institute members and CFA candidates. These are standards of integrity that you must abide by as long as you are a CFA candidate and/or a CFA Institute member.

To fully understand the conditions, requirements, policies, and procedures to which you must adhere as a candidate in the CFA Program, carefully review this application and the complete CFA Program details found on our website. All CFA Institute policies go into effect immediately upon submission of your application.

Basic Entrance Requirements

- Have a U.S. bachelor's (or equivalent) degree.
- Meet the professional conduct admission criteria.
- Be prepared to take the exams in English.

View detailed entrance requirements at:
www.cfainstitute.org/cfaprog

Exam Preparation

Candidates may access a wealth of resources in the Candidate Preparation Toolkit, available online at www.cfainstitute.org/toolkit.

CFA Program curriculum is included in the exam registration fees for all levels. The CFA Program curriculum is driven by a rigorous global practice analysis to determine the knowledge relevant to the profession. The content in the curriculum is the sole source for exam questions. Please visit www.cfainstitute.org/toolkit to learn more.

At CFA Institute, we believe that candidates need to commit to a minimum of 250 hours reading and reviewing the curriculum and taking online sample exams to master the material. This recommendation, however, may substantially underestimate the hours needed for appropriate examination preparation, depending on individual circumstances and academic background.

CFA Charter Requirements

To be awarded and retain the CFA charter, a candidate must

- sequentially pass the Level I, Level II, and Level III examinations;
- have at least four years of acceptable professional experience working in the investment decision-making process;
- apply for membership in a CFA Institute member society; and
- join CFA Institute as a regular member.

For full details and to apply for CFA Institute and society membership, visit www.cfainstitute.org.

Application Processing

Fax your completed application to us at +1 (434) 951-5240, or mail it to CFA Institute.

For tracking purposes, we strongly suggest that you use an overnight carrier.

Application processing may take up to three weeks and confirmation notices are sent to candidates by e-mail. If you do not hear from us within 30 days please contact customer service:
www.cfainstitute.org/contactus

EARN YOUR PLACE IN THE INVESTMENT WORLD. LEARN MORE AT WWW.CFAINSTITUTE.ORG.

Application and payment must be received by CFA Institute by midnight eastern time on or before the application deadline

JUNE 2009 CFA PROGRAM FEE SCHEDULE

Received on or before 24 September 2008				Received on or before 16 February 2009				Received on or before 16 March 2009			
CFA Program enrollment	+	Exam registration	= Total cost	CFA Program enrollment	+	Exam registration	= Total cost	CFA Program enrollment	+	Exam registration	= Total cost
Level I	\$390	+	\$600 = \$990	Level I	\$390	+	\$690 = \$1080	Level I	\$465	+	\$930 = \$1395
Level II	n/a		\$600 = \$600	Level II	n/a		\$690 = \$690	Level II	n/a		\$930 = \$930
Level III	n/a		\$600 = \$600	Level III	n/a		\$690 = \$690	Level III	n/a		\$930 = \$930

The curriculum portion of the fees will be taxed in some U.S. States and Canada.

International shipments may be subject to import duties and taxes, which are levied once a shipment reaches your country. You must pay any additional charges for customs clearance; we have no control over these charges and cannot predict what they may be. Customs policies vary widely from country to country. Contact your local customs office for further information.

FEE SCHEDULE

Applicants pay an initial one-time enrollment fee upon entering the CFA Program. In addition, applicants are required to pay a registration fee for each examination. Candidates registering to repeat a failed exam should deduct \$50 from these exam registration fees.

CFA Institute Policy on Refunds

All CFA Program fees are non-refundable and non-transferable. Application and payment must be received by CFA Institute by midnight eastern time on or before the application deadline. Full refunds are available within two business days of payment if you change your mind, and are also considered on a case-by-case basis for family illness, emergencies, and military service.

JUNE 2009 TEST CENTERS

Select a test center from the list below and write the corresponding code in the spaces provided on the application. Test centers are located in, or within reasonable travel distance of, these cities. The exam will be given on Saturday, 6 June, except at those test centers noted by an asterisk (*), where it will be given on Sunday, 7 June. Visit www.cfainstitute.org/cfaprog/resources/examdetails/ for complete details and forms to request testing accommodations.

AFRICA/MIDDLE EAST

Abu Dhabi, UAE ABD
 Accra, Ghana GHN
 Amman, Jordan AMN
 Beirut, Lebanon BEI
 Cairo, Egypt CAI
 Cape Town, So. Africa CAP
 Harare, Zimbabwe ZIM
 Jeddah, Saudi Arabia JED
 Johannesburg, So. Africa JOH
 Manama, Bahrain MAN
 Muscat, Oman MUS
 Nairobi, Kenya NAI
 Port Louis, Mauritius MAU
 Riyadh, Saudi Arabia RYD
 Safat, Kuwait KUW
 *Tel Aviv, Israel ISR
 Tunis, Tunisia TUN
 Windhoek, Namibia NAM

CENTRAL & SOUTH AMERICA / THE CARIBBEAN/BERMUDA

Barbados, West Indies BAR
 Bogota, Colombia BOG
 Buenos Aires, Argentina BUE
 Caracas, Venezuela CAR
 Cayman Islands, BWI CAY
 Guatemala City, Guatemala GUA
 Hamilton, Bermuda HAM
 Kingston, Jamaica KIN
 Lima, Peru LIM
 Mexico City, Mexico MEX
 Nassau, Bahamas NAS
 Panama City, Panama PAN
 Port-of-Spain, Trinidad PSP
 San Juan, Puerto Rico SNJ
 Santiago, Chile STG
 Sao Paulo, Brazil SPB

Luxembourg LUX
 Madrid, Spain MAS
 Malta MAL
 Milan, Italy MIT
 Moscow, Russia MOS
 Munich, Germany MUN
 Nicosia, Cyprus NIC
 Paris, France PAR
 Prague, Czech Republic PRG
 Reykjavik, Iceland ICE
 Riga, Latvia RIG
 Skopje, Macedonia SKP
 Sofia, Bulgaria SOF
 Stockholm, Sweden STO
 Vienna, Austria VNA
 Vilnius, Lithuania VIL
 Warsaw, Poland WAR
 Zagreb, Croatia CRO
 Zurich, Switzerland ZUR

*Seoul, Korea SEO
 *Shanghai, PRC SHG
 *Singapore SNG
 *Sydney, Australia SYD
 *Taipei, ROC TAI
 *Tokyo, Japan TOK
 *Wellington, New Zealand WEL

UNITED STATES

Albuquerque, NM ALB
 Anchorage, AK ANC
 Atlanta, GA ATL
 Austin, TX AUS
 Baltimore, MD BAL
 Birmingham, AL BIR
 Boise, ID BOI
 Boston, MA BOS
 Buffalo, NY BUF
 Charlotte, NC CHL
 Chattanooga, TN CHA
 Chicago, IL CHI
 Cincinnati, OH CIN
 Cleveland, OH CLE
 Columbia, SC CSC
 Columbus, OH COL
 Dallas, TX DAL
 Denver, CO DEN
 Des Moines, IA DES
 Detroit, MI DET
 Grand Rapids, MI GRM
 Hartford, CT HAR
 Helena, MT HEL
 Honolulu, HI HNL
 Houston, TX HOU
 Indianapolis, IN IND
 Jackson, MS JAC
 Jacksonville, FL JAX
 Juneau, AK JUN
 Kansas City, MO KC
 Las Vegas, NV LAS

Little Rock, AR LRK
 Los Angeles, CA LOS
 Louisville, KY LOU
 Madison, WI MAD
 Memphis, TN MEM
 Miami, FL MIA
 Milwaukee, WI MIL
 Minneapolis, MN MIN
 Montpelier, VT MOP
 Naples, FL NAP
 Nashville, TN NSH
 New Orleans, LA NOR
 New York Metro Area:
 Long Island NYL
 New York City NYC
 Northern New Jersey NYJ
 Westchester County NYW
 Omaha, NE OMA
 Philadelphia, PA PHL
 Phoenix, AZ PHX
 Pittsburgh, PA PIT
 Portland, ME POM
 Portland, OR POR
 Providence, RI PRO
 Richmond, VA RIC
 Rochester, NY ROC
 Sacramento, CA SAC
 Salt Lake City, UT SLC
 San Antonio, TX SAN
 San Diego, CA SD
 San Francisco, CA SF
 Seattle, WA SEA
 Spokane, WA SPO
 St. Louis, MO STL
 Tampa, FL TAM
 Washington, DC WAS
 Wilmington, DE WIL

CANADA

Calgary, AB CAL
 Edmonton, AB EDM
 Fredericton, NB NBF
 Halifax, NS HAL
 Kelowna, BC KEL
 London, ON LND
 Montreal, QC MON
 Ottawa, ON OTA
 Quebec, QC QUE
 Regina, SK REG
 St. Johns, NF STJ
 Toronto, ON TOR
 Vancouver, BC VAN
 Victoria, BC VIC
 Winnipeg, MB WIN
 Yellowknife, NT YKF

EUROPE

Amsterdam, the Netherlands AMS
 Athens, Greece ATH
 Baku, Azerbaijan BAZ
 Belgrade, Serbia BEL
 Bilbao, Spain BIL
 Brussels, Belgium BRU
 Bucharest, Romania BUC
 Budapest, Hungary BUD
 Copenhagen, Denmark COP
 Dublin, Ireland DUB
 Edinburgh, Scotland EDN
 Frankfurt, Germany GER
 Geneva, Switzerland GEN
 Helsinki, Finland HEF
 Istanbul, Turkey IST
 Kiev, Ukraine UKR
 Lisbon, Portugal LIS
 London, England LON

ASIA/OCEANIA

*Almaty, Kazakhstan ALM
 *Auckland, New Zealand AUK
 *Bangkok, Thailand BAK
 *Beijing, PRC BJG
 *Brunei BRN
 *Colombo, Sri Lanka SRI
 *Dhaka, Bangladesh DHK
 *Guangzhou, PRC GHZ
 *Hanoi, Vietnam HAN
 *Ho Chi Minh City, Vietnam HCM
 *Hong Kong HNK
 *Jakarta, Indonesia JAK
 *Karachi, Pakistan KAR
 *Kathmandu, Nepal KAT
 *Kuala Lumpur, Malaysia KUL
 *Lahore, Pakistan LAH
 *Manila, the Philippines MLA
 *Melbourne, Australia MEL
 *Perth, Australia PER

PROFESSIONAL CONDUCT INQUIRY (required of all applicants)

Please answer all eight questions (A–H). **Failure to meet the professional conduct criteria may result in cancellation of your application:**

A. Suspension: Are you currently, or within the last five years have you been, the subject of disciplinary action by a regulatory organization or judicial court that resulted in a temporary suspension from:

- (a) participation in the financial services markets **OR**
(b) association with a financial services institution?
 Yes No

B. Expulsion: Are you currently, or within the last five years have you been, the subject of disciplinary action by a regulatory organization or judicial court that resulted in a permanent or indefinite suspension from:

- (a) participation in the financial services markets **OR**
(b) association with a financial services institution?
 Yes No

C. Prohibitions and Restrictions: In the last five years, has a regulatory organization or judicial court denied you trading privileges or prevented you from:

- (a) trading on a securities or contract market, **OR**
(b) acting as a person required to be registered under a law or regulation, **OR**
(c) acting as an affiliated person or employee of a financial services institution?
 Yes No

D. Convictions: (a) In the last five years, have you been convicted of a crime punishable by less than one year in prison or jail (or otherwise defined as a misdemeanor) involving lying, cheating, stealing, or other dishonest conduct?
 Yes No

(b) Have you ever been convicted of a crime punishable by one year or more in prison or jail (or otherwise defined as a felony)?
 Yes No

If yes to (a) or (b) or both, you must provide the following information: date of the conviction, whether the crime was punishable by less than or more than one year in prison or jail, and a description of the conduct that caused the conviction.

E. Damage Claims: In the last five years, has your professional conduct, in either a direct or supervisory capacity, been the subject of litigation, arbitration, a complaint, or other claim for damages that was resolved for an amount exceeding US\$20,000?
 Yes No

F. Disciplinary Action: In the last five years, have you been the subject of disciplinary action by a regulatory organization, judicial court, or financial services institution that resulted in withholding of commissions or a monetary fine in an amount exceeding US\$20,000?
 Yes No

G. Assisting in a Violation: In the last five years, has a regulatory organization or judicial court found that your conduct caused another person or financial services institution to violate a securities or commodities related law, rule, or regulation?
 Yes No

H. Caused Suspension or Expulsion: In the last five years, has a regulatory organization or judicial court found that your conduct caused:

- (a) another person to be temporarily or permanently prevented from being associated with a financial services institution or participating in the financial services markets **OR**
(b) a financial services institution to be temporarily or permanently prevented from participating in the financial services markets?
 Yes No

CANDIDATE PROFESSIONAL CONDUCT STATEMENT

(this section to be completed **only** by previously registered candidates)

Please answer questions A and B by marking one of the appropriate boxes. You must mark an affirmative response if either one of the questions applies. Any matter described in Questions A and B must be disclosed, even if the matter is still pending.

Since becoming a candidate in the CFA Program, have you been:

- A.** the subject of, a defendant to, or respondent in any investigation, civil litigation, arbitration, or other action or proceeding in which your professional conduct, in either a direct or supervisory capacity, was at issue, **OR**
B. the subject of a written complaint regarding your professional conduct in either a direct or supervisory capacity?
 No Yes, matter currently under investigation by CFA Institute
 Yes, not previously disclosed to CFA Institute
 Yes, matter previously investigated by CFA Institute; review concluded

CANDIDATE RESPONSIBILITY STATEMENT

I understand, accept, and agree to comply with all conditions, requirements, policies, and procedures for the CFA Program established by CFA Institute and as amended from time to time. I understand that such conditions, requirements, policies, and procedures include all material set forth on the CFA Institute website for this year's examinations as well as the CFA Institute and its subsidiary organizations' Articles of Incorporation and Bylaws; Code of Ethics; Standards of Professional Conduct; Rules of Procedure for Proceedings Related to Professional Conduct; and other conditions, requirements, policies, and procedures that may be established and amended from time to time, information about which is available by contacting CFA Institute at (800) 247-8132 or +1(434) 951-5499 or on the CFA Institute website: www.cfainstitute.org. I understand that CFA Institute has the authority to enforce its conditions, requirements, policies, and procedures against me and may reject, suspend, or terminate my candidacy at any time or decline to award me the right to use the CFA designation for my failure to satisfactorily meet any such conditions, requirements, policies, and procedures.

I understand that all CFA Program fees are non-refundable and non-transferable.

I understand that any dispute arising from CFA Institute conditions, requirements, policies, and procedures shall be governed in all respects by the law of the Commonwealth of Virginia. The exclusive forum for any such disputes shall be the state and federal courts located in the Commonwealth of Virginia.

I give permission to CFA Institute and its agents to audit and confirm any work experience and education information I provide to CFA Institute.

I represent that the information contained in my application, including my response to the Professional Conduct Inquiry and Candidate Professional Conduct Statement, is truthful and complete, and I agree to notify CFA Institute of any material changes to my responses to any of the questions on this form, including my current address(es).

SIGNATURE REQUIRED **X**

PRINT NAME

DATE

PLEASE REVIEW THIS FORM TO MAKE SURE ALL INFORMATION IS COMPLETE AND RETAIN A COPY FOR YOUR RECORDS.

THIS FORM IS FOR THE JUNE 2009 EXAMINATION ONLY