EXAM CASES

Violations by Exam Cycle

- Other Misc. Rules Violations
- Writing Past Time
- Use of Scratch Paper
- Possession/Use of Reference Materials
- Opening Exam Booklet Prior to Instruction
- Looking at Another Candidate’s Exam/Cheating

<table>
<thead>
<tr>
<th>Exam Cycle</th>
<th>Violations</th>
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<td>713</td>
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<td>Jun-19</td>
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</tbody>
</table>
EXAM CASES

Closed by Cycle

- 775 cases in Jun-13
- 493 cases in Dec-13
- 903 cases in Jun-14
- 523 cases in Dec-14
- 984 cases in Jun-15
- 562 cases in Dec-15
- 1163 cases in Jun-16
- 722 cases in Dec-16
- 1115 cases in Jun-17
- 725 cases in Dec-17
- 1558 cases in Jun-18
- 684 cases in Dec-18
- 261 cases in Jun-19

*29 cases still open
**1229 cases still open

- Non-Cooperation by Candidate
- Prohibition from Participation in the CFA Program
- 5 Year Suspension from CFA Program
- 4 Year Suspension from CFA Program
- 3 Year Suspension from CFA Program
- 2 Year Suspension from CFA Program
- 1 Year Suspension from CFA Program
- 6 Month Suspension from CFA Program
- Censure
- Private Reprimand
- Cautionary Letter
- No Disciplinary Action